



GUAM POWER AUTHORITY ATURIDAT ILEKTRESEDAT GUAHAN P.O. BOX 2977 \* AGANA, GUAM U.S.A. 96932-2977



Openness

Telephone Nos.: (671) 648-3054/55 or Facsimile (671) 648-3165 Accountability Impartiality · Competence

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Value

REQUEST FOR PROPOSAL: GPA-RFP-17-002 Engineering & Technical Services Supporting GPA's Resource Plans DESCRIPTION:

#### SPECIAL REMINDER TO PROSPECTIVE INDIVIDUALS/FIRMS

Firms/Individuals are reminded to read Proposal Instructions to ascertain that all of the following requirements checked below are submitted in their technical proposal envelope, one (1) bound paper original, five (5) bound paper copies, and one (1) electronic PDF copy in CD, DVD, and/or USB Flashdrive at the date and time for proposal remittance.

- STATEMENT OF QUALIFICATION; [XX]
- AFFIDAVIT OF DISCLOSURE OF MAJOR SHAREHOLDERS: [XX]

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- [XX] NO GRATUITIES OR KICKBACKS AFFIDAVIT;
- ETHICAL STANDARDS AFFIDAVIT; [XX]
- [XX] WAGE DETERMINATION AFFIDAVIT;
- [XX] RESTRICTIONS AGAINST SEX OFFENDERS AFFIDAVIT;
- [XX] NON-COLLUSION AFFIDAVIT:

\*Note: The above Affidavits must comply with the following requirements;

- The affidavit must be signed within 60 days of the date the bid is due; a.
- Date of signature of the person authorized to sign the bid and the notary date must be the same. b.
- First time affidavit must be an original If copy, indicate Bid Number/Agency where original can be obtained. C.

A Guam Business License is not required in order to provide a proposal for this engagement, but is a pre-condition [XX] OTHERS: for entering into a contract with the Authority. Offerors MUST comply with PL 26-111 dated June 18, 2002, PL 28-165 dated January 04, 2007 and Wage Determination under the Service Contract Act (www.wdol.gov). Additionally, upon award the successful firm/individual must provide to GPA the most recently issued Wage Determination by the US Dept. of Labor.

\*\*\*Restriction against Sex Offenders Employed by Service Providers to Government of Guam from Working on Government of Guam Property, 5GCA Section 5253, enacted by P.L. 28-24 and amended by P.L. 28-98:

If a contract for services is awarded to the bidder or offeror, then the service provider must warrant that no person in its employment who has been convicted of a sex offense under the provisions of Chapter 25 of Title 9 of the Guam Code Annotated or of an offense defined in Article 2 of Chapter 28of Title 9 of the Guam Code Annotated, or who has been convicted in any other jurisdiction of an offense with the same elements as heretofore defined, or who is listed on the Sex Offender Registry, shall provide services on behalf of the service provider while on government of Guam property, with the exception of public highways. If any employee of a service provider is providing services on government property and is convicted subsequent to an award of a contract, then the service provider warrants that it will notify the Government of the conviction within twenty-four hours of the conviction, and will immediately remove such convicted person from providing services on government property. If the service provider is found to be in violation of any of the provisions of this paragraph, then the Government will give notice to the service provider to take corrective action. The service provider shall take corrective action within twenty-four hours of notice from the Government, and the service provider shall notify the Government when action has been taken. If the service provider fails to take corrective steps within twenty-four hours of notice from the Government, then the Government in its sole discretion may suspend temporarily any contract for services until corrective action has been taken.

This reminder must be signed and returned in the proposal envelope together with the proposal. Failure to comply with the above requirements will mean a disqualification and rejection of the proposal.

<u>,</u> 20 I, authorized representative of On this day of acknowledge receipt of this special reminder to PROSPECTIVE Individual/Firm with the above referenced RFP.

Individual/Firm Representative's Signature

## **REQUEST FOR PROPOSAL NO.: GPA-RFP-17-002**

FOR

## **ENGINEERING & TECHNICAL SERVICES SUPPORTING GPA'S RESOURCE PLANS**





JOHNM. BENAVENTE, P.E. General Manager

**JOHN J. CRUZ, JR., P.E** Manager, Strategic Planning and Operations Research

#### DECEMBER 2016

Guam Power Authority P.O. Box 2977 Hagatna, Guam 96932

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## SECTION 1.0: INSTRUCTIONS TO RESPONDENTS

## 1 INSTRUCTIONS TO RESPONDENTS

This section provides instructions for those responding to this Request For Proposal (RFP).

### 1.1 DEFINITIONS

- PROPONENT: The individual, partnership, corporation, or joint venture submitting a written or documented response to this subject RFP.
- OWNER: The Guam Power Authority (GPA) General Manager or designated representative.
- ADDENDA: Any amendment, modification or addenda issued by OWNER, prior to the opening of the RFP's, for the purpose of changing the intent of the plans and Technical Specifications, clarifying the meaning of the same, or changing any of the provisions of this RFP, shall be binding to the same extent as if written in the Specifications.

## 1.2 PROPOSALS

The PROPONENT is required to read each and every page of the Request for Proposal and by the act of submitting a proposal shall be deemed to have accepted all conditions contained therein. In no case will failure to inspect constitute grounds for a claim or for the withdrawal of a proposal after opening.

Proposals shall be filled out in ink or typewritten and signed in black ink. Erasures or other changes in a proposal must be explained or noted over the signature of the PROPONENT. Proposals containing any conditions, omission, unexplained erasure or alterations or items not called for in the Proposal, or irregularities of any kind shall be rejected by the Guam Power Authority as being incomplete.

## 1.3 PROPRIETARY PORTIONS OF PROPOSALS

The OFFEROR may designate any proprietary portions of the proposal which contain trade secrets or other proprietary data to remain confidential.

## 1.4 PREPARATION AND SUBMISSION OF PROPOSALS

Envelopes containing proposals shall be sealed and marked on the face with the name and address of the PROPONENT, the Proposal Number and the time and date of submission. Telegraphic proposals will not be considered, nor modification by telegraph of proposals already submitted.

Proposals shall be hand-carried and received at the place of opening on or before the opening date and time. Proposals received through mail will not be accepted if such mail is received at the address showing after the submission date and time. Proposals will not be opened publicly.

All submittals must strictly conform to the Request for Proposal and any addenda.

One (1) bound paper original, five (5) bound paper copies, and one (1) electronic PDF format copy of each proposal, consisting of technical and commercial sections, must be submitted, including all addenda, if any. Any and all sample documentation (reports of similar jobs, brochures, etc) that will assist towards PROPONENT'S evaluation may be furnished with each proposal.

No submittal shall be considered complete unless accompanied by all items specified in these "submittal instructions."

Request for Proposal No.: <u>GPA-RFP-17-002</u> must be submitted before 4:00 P.M. <u>January 27, 2017</u>, in a sealed envelope indicating the RFP number and addressed as follows:

- To: Guam Power Authority GPWA Procurement Office Gloria B. Nelson Public Service Building 688 Route 15 1st. Floor, Room 101 Fadian, Guam 96913 eAttn: Jamie L.C. Pangelinan
- Attn: Jamie L.C. Pangelinan Supply Management Administrator

Examination of RFP Documents: PROPONENT shall examine the RFP Documents to inform himself of all conditions and requirements for the execution of the proposed work. Ignorance on the part of PROPONENT of any part of the Request for Proposal will in no way relieve him/her of the obligations and responsibilities assumed under the Contract.

Interpretation of the Approximate Quantities: PROPONENT'S attention is called to the fact that any estimate of quantities of work to be done and materials to be furnished under the Contract as shown on the Technical Requirements Section or elsewhere, is approximate only and not guaranteed. OWNER does not assume any responsibility that the final quantities shall remain in strict accordance with the estimated quantities, nor shall PROPONENT plead misunderstanding or deception because of such estimate of quantities or of the character, location of the work or other conditions pertaining thereto.

<u>Familiarity with Laws:</u> PROPONENT is assumed to be familiar with Federal and Local laws, ordinances, rules and regulations that in any manner affect the work. Ignorance on the part of PROPONENT will in no way relieve him/her from responsibility.

The preparation and submission of a proposal will be by and at the expense of the PROPONENT.

### 1.5 EXPLANATION TO PROPONENTS

No oral explanation in regard to the meaning of the specification will be made and no oral instructions will be given before the award of the proposal. Discrepancies, omissions, or doubts as to the meaning of the specifications must be communicated in writing to the named contact individual of the Guam Power Authority requesting for interpretation. PROPONENT should act promptly and allow sufficient time for a reply to reach them before the submission of their proposals. Interpretation, if required, shall be made in the form of an amendment to the specifications, which will be forwarded to all prospective PROPONENTS, and its receipt by the PROPONENT should be acknowledged on the proposal form.

## 1.6 CLARIFICATION ON REQUEST FOR PROPOSAL

Each PROPONENT must carefully examine the Request for Proposal and all addenda. If any PROPONENT (a) finds any discrepancies, omissions or ambiguities in the RFP documents, (b) is uncertain as to the intent or meaning of any provision of the Request for Proposal, or (c) has any question regarding the Request for Proposal, the PROPONENT must promptly notify GPA in writing at the address specified for submission of proposals no later than the deadline for submission of questions, <u>TBD, 2016</u>. Replies to such notices may be made in the form of addenda, which will be issued simultaneously to all prospective PROPONENTS. GPA further reserves the right to respond to any and all inquiries to this RFP, as any amendments issued may impact the project completion schedule.

### 1.7 ALTERNATE PROPOSALS

GPA reserves the right to withhold its approval of any or all alternates proposed by PROPONENTS and to deny any or all requests for such approvals.

### 1.8 MODIFICATION OR WITHDRAWAL OF PROPOSALS

A PROPONENT may modify or withdraw its proposal by written request, provided that the request is received by GPA at the address and prior to the time specified for the submission of proposals. Any proposals or submittals received after the time and date set for receipt of proposals or submittals will be considered late. No late modification or withdrawal will be considered unless received before the date of opening. Following withdrawal of its proposal, a PROPONENT may submit a new proposal, provided the new proposal is received by GPA prior to the time specified for the submission of proposals. There shall be no modifications or withdrawals after the opening date.

GPA may modify any provision of the Request for Proposal at any time prior to the time specified for the submission of proposals. Such modifications shall be made in the form of addenda, which will be issued simultaneously to all PROPONENTS.

Any addenda issued will be mailed to all PROPONENTS in duplicate. PROPONENT shall acknowledge receipt of same by his signature on copy, which is to be returned to OWNER. The other copy shall accompany the proposal or submittal. Acknowledgement may also be made in writing or by telex or telegram.

Negligence on the part of the PROPONENT in preparing the proposal confers no right for the withdrawal of the proposal after it has been opened.

## 1.9 COMPLETE PROPOSALS

PROPONENTS are requested to submit proposals, which are complete and unambiguous without the need for additional explanation or information. GPA may make a final determination as to whether a proposal is acceptable or unacceptable solely on the basis of the proposal as submitted, and proceed with proposal evaluation without requesting further information from any PROPONENT. GPA may, in its sole discretion, request from PROPONENTS additional information clarifying or supplementing, but not basically changing any proposal as submitted.

All Proposals shall remain the property of GPA.

Time for Acceptance: All submittals shall be valid for 60 days from date of RFP opening.

<u>Completion Date:</u> PROPONENT shall realize that satisfactory completion of this work within the period shown on the Contract form is a critical requirement. Failure to do so may cause the imposition of liquidated damages as specified therein.

## 1.10 POST-PROPOSAL MEETING

After the receipt of proposals, GPA may request additional information over the telephone or in individual meetings with selected PROPONENTS to clarify and discuss their proposals. Failure by a PROPONENT to attend such requested meeting(s) shall be cause for disqualification.

GPA reserves the right to request clarifications from only those PROPONENTS whom it deems in its best interest.

All clarifications shall be documented by PROPONENTS as addenda to the submittals.

### 1.11 PROPOSAL INCONSISTENCIES

Any provisions in the proposal which are inconsistent with the provisions of this Request for Proposal, unless expressly described as being exceptions or alternates, are deemed waived by the PROPONENTS. In the event the proposal is awarded to PROPONENT, any claim of inconsistency between the proposal and these RFP documents will be resolved in favor of these RFP documents unless otherwise agreed to in writing by GPA.

### 1.12 SUBCONTRACTOR

If the PROPONENT plans to enter into contracts with subcontractors in order to complete this project, the identification and location of the possible subcontractors with a comprehensive description of their offering shall be submitted with the proposal. GPA reserves the right to disapprove any subcontractor, or a subcontractor's offering proposed by the PROPONENT. This right applies to the original submittal as well as submittals subsequent to the original proposal.

### 1.13 SUMITTAL FORMAT

All responses to this subject RFP shall be written in the ENGLISH language.

The submittal information shall be in 8-1/2 inch by 11-inch report binders with the covers identifying the respective PROPONENT. Large sheets or drawings shall be bound in the binder so that they can be unfolded for easy review.

#### 1.14 SIGNATURE

The proposals shall be signed by an official authorized to contractually bind the PROPONENT. The proposal shall also provide the following information:

<u>Signature on Proposal:</u> PROPONENT must sign his proposal correctly. If the proposal is made by an individual, his name and post office address must be shown. If made by a firm or partnership, the name and post office address of each member of the firm or partnership must be shown. If made by a corporation, the person signing the proposal shall show the name of the State or Territory under the laws of which the corporation was chartered, also the names and business address of its president, secretary and treasurer.

## 1.15 INQUIRIES

Prospective PROPONENTS should address inquiries, questions or clarifications in writing to:

John M. Benavente, P.E. General Manager Guam Power Authority Gloria B. Nelson Public Service Building 688 Route 15 Mangilao, Guam 96913

Attn: Jamie L.C. Pangelinan Supply Management Administrator GPA Procurement Division 1<sup>st</sup>. Floor, Room 101 Telephone No: (671) 648-3054/3055 Facsimile: (671) 646-8163 Email: jpangelinan@gpagwa.com

Note: Cut-Off Date for Receipt of Questions shall be <u>4:00 P.M., December 27, 2016.</u> Inquiries received after the deadline shall not be entertained.

## SECTION 2.0: GENERAL TERMS AND CONDITIONS

## 2 GENERAL TERMS AND CONDITIONS

This section provides the General Terms and Conditions for this Request For Proposal.

## 2.1 AUTHORITY

This Request for Proposals (RFP) solicitation is issued subject to all of the provisions of the Guam Procurement Act (Public Law 16-124) and the Guam Procurement Regulations (copies are available for inspection at the Guam Power Authority). The RFP requires all parties involved in the preparation, negotiation, performance, or administration of contracts to act in good faith.

## 2.2 GENERAL INTENTION

Unless otherwise specified, it is the declared and acknowledged intention and meaning of these General Terms and Conditions for the PROPONENT to provide the Guam Power Authority with specified services.

## 2.3 STANDARDS FOR DETERMINATIN OF MOST QUALIFIED PROPONENT

In determining the most qualified PROPONENT, the Agency/Department shall be guided by the following:

- A. The ability, capacity and skill of the PROPONENT to perform the work specified.
- B. Whether the PROPONENT can perform promptly or within the specified time.
- C. The quality of performance of the PROPONENT with regard to awards previously made to him.
- D. The previous and existing compliance by the PROPONENT with laws and regulations relative to procurement.

## 2.4 AWARD OR REJECTION OF PROPOSALS

The right is reserved as the interest of the Guam Power Authority may require waiving any minor informalities or irregularities in proposals received. The Guam Power Authority reserves the right and shall have the prerogative to award, amend, or reject proposals in whole or in part. It is the policy of the Guam Power Authority to award proposals to PROPONENTS duly authorized and licensed to conduct business in Guam.

GPA reserves the right to award a CONTRACT for the entire RFP Scope or for subsets of the RFP Scope to one, none, or any PROPONENTS.

Proposals will be opened privately, and GPA reserves the right to keep any or all proposals confidential.

A. <u>Cancellation of Solicitation, Delays:</u> GPA reserves the right to cancel or to withdraw this RFP, to delay determination on this RFP, or to reject all submittals or any individual submittal in whole or in part at any time prior to the final award. The reasons for the cancellation, delay or rejection shall be made a part of the project file and shall be available for public inspection.

After opening, but prior to award, all proposals may be rejected in whole or in part when the Procurement Authority of GPA determines in writing that such action is in the Territory's best interest for reasons including but not limited to:

- 1) The supplies and services being procured are no longer required;
- 2) Ambiguous or otherwise inadequate Specifications were part of the solicitation;
- 3) The solicitation did not provide consideration of all factors of significance to the Territory;
- Price(s) exceed available funds and it would be appropriate to adjust quantities to come within available funds;
- 5) Inability of the selected PROPONENT and GPA to successfully negotiate contract terms for the scope of services requested.

When a solicitation is cancelled or rejected prior to final award, notice of cancellation or rejection shall be sent to all PROPONENTS. The reasons for cancellation or rejection shall be made a part of the project file and shall be available for public inspection.

- B. <u>Rejection of Individual Proposal or Submittal:</u> Any individual proposal or submittal may be rejected in whole or in part when in the best interest of the Authority. Reasons for rejecting a proposal or submittal include but are not limited to:
  - 1) PROPONENT is not responsive;
  - The proposal or submittal is non-responsive as it does not conform in all respects to the RFP;
  - The construction, supply or service offered in the proposal is unacceptable by reason of its failure to meet the requirements of the specifications or technical requirements set forth in the RFP;
  - 4) The proposal or submittal does not meet the requirements or criteria set forth in the RFP. Upon request, unsuccessful PROPONENTS shall be advised of the reasons for rejection.

Any or all proposals or submittals will be rejected if there is reason to believe that collusion exists among PROPONENTS and no participants in such collusion will be considered in future projects for the same work.

### 2.5 EXECUTION OF THE ORDER

The PROPONENT to whom the Order is awarded (the "successful PROPONENT") shall execute and deliver to GPA the Contract prior to performing any services on GPA premises. A written notice will be issued to the most successful PROPONENT indicating commencement of the project.

<u>Award of Contract:</u> The award of the Contract, if awarded, will be to the most responsive PROPONENT whose qualifications indicate that award thereto will be to the best interest of OWNER, and whose proposal shall comply with the requirements of the Contract Documents. In no case will the award be made until all necessary investigations have been made into the responsibility of the PROPONENT, and the OWNER is satisfied that the PROPONENT is qualified to do the work and has the necessary equipment to carry out the provisions of the Contract to the satisfaction of OWNER within the time specified. OWNER may award separate contracts for each project scope or for any combination of project scope.

<u>Execution of Contract</u>: The individual, firm or corporation to which this Contract has been awarded shall sign the necessary agreement entering into Contract with OWNER, and return it to OWNER within ten (10) days after date of award.

<u>Failure to Execute Contract</u>: Failure on the part of PROPONENT to execute the Contract as required will be just cause for the annulment of the award. The award may then be made to the next most qualified PROPONENT or the work re-advertised, as OWNER may elect.

## 2.6 MODIFICATION / ALTERATION

After the receipt and opening of proposals, and at its option, the Guam Power Authority may conduct discussions with the PROPONENT who has submitted a proposal reasonably susceptible of being selected for award with the purpose of clarification to assure full understanding and responsiveness to the Proposal requirements. PROPONENTS shall be accorded fair and equal treatment with respect to any opportunity for discussion and revision to proposals and such revisions shall be permitted after submission and prior to award for the purpose of obtaining best and final offers. In conducting discussions, there shall be no disclosure of any information derived from proposals submitted by competing PROPONENTS.

## 2.7 CONTACT FOR CONTRACT ADMINISTRATION

If your firm receives a contract as a result of this Proposal, designate a person whom we may contact for prompt administration, showing:

NAME:

ADDRESS:

 TITLE:		

## 2.8 DETERMINATION OF RESPONSIBILITY PROPONENT

The Guam Power Authority reserves the right to secure from PROPONENTS information necessary to determine whether or not they are responsible and to determine their responsibility in accordance with Section 2.3 of the General Terms and Conditions.

### 2.9 LIMITATIONS

This RFP does not commit GPA to award a contract, to pay any costs incurred in the preparation of a proposal by the PROPONENT under this request, or to procure a contract for services. GPA reserves the right to reject any and all proposals received under this request, to negotiate with all qualified sources, or to cancel the whole or any part of this RFP at any time.

## 2.10 ACCEPTANCE OF PROPOSAL CONTENTS

The contents of the Proposal of the successful firm will become contractual obligations if a contract ensues. Failure of the successful firm to accept these obligations will result in a disqualification of the Proposal.

## 2.11 CONTROL

The successful PROPONENT will carry out this assignment under the direction and control of the General Manager of the Guam Power Authority or his/her designee(s).

## 2.12 REQUIRED FORMS

All PROPONENTS are required to submit current affidavits as required below. Failure to do so will mean disqualification and rejection of the proposal.

- Major Shareholders Affidavit
- Non-Collusion Affidavit
- No Gratuities or Kickbacks Affidavit
- Ethical Standards Affidavit
- Declaration Re-Compliance with U.S. DOL Wage Determination
- Restriction Against Sex Offenders Affidavit

## 2.13 CONTRACT TERM

This agreement shall be effective for a base contract period of five years or until all of the tasks are completed whichever is less. Upon mutual agreement, the CONTRACT may be extended for a period no more than five years beyond the base contract term. The contract shall commence on award date or final GPA acceptance, whichever is later, and shall terminate on the last day of the contract period, or on the last day of the month after date of final approval/completion of tasks assigned. GPA shall, at its sole discretion, determine task completion.

#### 2.14 INDEMNIFICATION

The Contractor agrees to indemnify, defend, and hold harmless the GPA, its agents, officers, and employees from all claims and suits including court costs, attorney's fees, and other expenses caused by any act or omission of the Contractor and/or its subcontractors, if any, in the performance of this Contract. GPA shall <u>not</u> provide such indemnification to the Contractor.

## 2.15 INSURANCE

Lessor shall maintain such insurance on the Equipment as it may be deem necessary to protect its interest therein. The GPA will not carry insurance on the Equipment.

## 2.16 JUSTIFICATION OF DELAY

The PROPONENT who is awarded the proposal guarantees that the services will be completed within the agreed upon completion date. If, however, the PROPONENT cannot comply with the completion requirement, it is the PROPONENT'S responsibility to advise the Guam Power Authority in writing explaining the cause and reasons for the delay.

Section 6-101.09.1 of the Guam Procurement Regulations, "Liquidated Damages", will be in effect if the PROPONENT fails to meet the completion requirement.

## 2.17 INVOICING AND PAYMENT TERMS & CONDITIONS

All invoices shall include supporting documents (i.e. timesheets, shipping invoices, consumable listings, etc.), if applicable. All supporting documents must be reviewed and approved by the GPA Project Manager prior to invoice submittals. All invoices will be paid net 30 days from the date the invoice is received at the GPA Accounting Department. GPA may select a method mutually agreed upon by Guam Power Authority and the successful PROPONENT.

## 2.18 TAXES

PROPONENT shall be liable for Guam Gross Receipt Taxes and all other applicable taxes and duties. The Guam Power Authority shall have no tax liability under this order. Specific information on taxes may be obtained from the Director of Revenue and Taxation. GPA is a government agency exempted from all government taxes as stipulated in the Guam Code Annotated.

## 2.19 LICENSING

PROPONENTS are reminded that the Guam Power Authority will not consider for award any Offer submitted by an PROPONENT who has not complied with the Guam Licensing Law by the time of contract signing. Specific information on licenses may be obtained from the Director of Revenue and Taxation.

## 2.20 COVENANT AGAINST CONTINGENT FEES

The PROPONENT warrants that he has not employed any person to solicit or secure any resultant contract upon agreement for a commission, percentage, brokerage, or contingent fee. Breach of this warranty shall give the Guam Power Authority the right to terminate the Contractor, or in its discretion to deduct from the contract price or consideration the amount of such commission, percentage, brokerage, or contingent fees. This warranty shall not apply to commission payable by contractors upon contracts or sales secured or made through, bona fide established commercial or selling agencies maintained by the Contractor for the purpose of securing business.

## 2.21 ASSIGNMENTS

Contractor may not assign this CONTRACT or any sum becoming due under the provisions of this CONTRACT without the prior written consent of the Guam Power Authority.

## 2.22 EQUAL EMPLOYMENT OPPORTUNITY

Section 3.01 of the Executive Order 10935 dated March 07, 1965 requires the PROPONENT not

to discriminate against an employee or applicant for employment because of race, creed, color or national origin. The PROPONENT will take affirmative action to ensure that applicants are employed and that employees are treated equally during employment without regard to race, creed, color or national origin.

### 2.23 AMERICAN DISABILITIES ACT

If requested, the PROPONENT must meet all ADA regulations and requirements.

# 2.24 PROHIBITION AGAINST GRATUITIES, KICKBACKS AND FAVORS TO THE TERRITORY

All OFFERORS are required to submit a current No Gratuities of Kickbacks Affidavit. Failure to do so will mean disqualification and rejection of the proposal.

Pursuant to GCA 5 section 5630 (c), this clause is conspicuously set forth to alert all parties in this procurement that Guam Public Law Title 5 §5630. Gratuities and Kickbacks, prohibits against gratuities, kickbacks, and favors to the Territory.

## 2.25 RESTRICTION AGAINST CONVICTED SEX OFFENDERS

All OFFERORS are required to submit a current Restriction against Sex Offenders Affidavit. Failure to do so will mean disqualification and rejection of the proposal.

GCA 5 §5253(b) restricts the OFFEROR against employing convicted sex offenders from working at Government of Guam venues. It states:

(b) All contracts for services to agencies listed herein shall include the following provisions: (1) warranties that no person providing services on behalf of the contractor has been convicted of a sex offense under the provisions of Chapter 25 of Title 9 GCA or an offense as defined in Article 2 of Chapter 28, Title 9 GCA, or an offense in another jurisdiction with, at a minimum, the same elements as such offenses, or who is listed on the Sex Offender Registry; and (2) that if any person providing services on behalf of the contractor is convicted of a sex offense under the provisions of Chapter 25 of Title 9 GCA or an offense as defined in Article 2 of Chapter 25 of Title 9 GCA or an offense as defined in Article 2 of Chapter 28, Title 9 GCA or an offense as defined in Article 2 of Chapter 28, Title 9 GCA or an offense as defined in Article 2 of Chapter 28, Title 9 GCA or an offense as defined in Article 2 of Chapter 28, Title 9 GCA or an offense as defined in Article 2 of Chapter 28, Title 9 GCA or an offense as defined in Article 2 of Chapter 28, Title 9 GCA or an offense in another jurisdiction with, at a minimum, the same elements as such offenses, or who is listed on the Sex Offender Registry, that such person will be immediately removed from working at said agency and that the administrator of said agency be informed of such within twenty-four (24) hours of such conviction.

## 2.26 MAJOR SHAREHOLDERS DISCLOSURE AND NON-COLLUSION

All OFFERORS are required to submit a current Major Shareholders Disclosure Affidavit as required below. Failure to do so will mean disqualification and rejection of the proposal.

5 GCA §5233 (Title 5, Section 5233) states:

"Section 5233 Disclosure of Major Shareholders. As a condition of submitting a bid or offer, any partnership, sole proprietorship or corporation doing business with the Government of Guam shall submit an affidavit executed under oath that lists the name and address of any person who has held more than ten percent (10%) of the outstanding interest or shares in said partnership, sole proprietorship or corporation at any time during the twelve (12) month period immediately preceding submission of a bid, or, that it is a not for profit organization that qualifies for tax exemption under the Internal Revenue Code of the United States or the Business Privilege Tax law of Guam, Title 12, Guam Code Annotated, Section 26203©. With the exception of not for profit organizations, the affidavit shall contain the number of shares or the percentage of all assets of such partnership, sole proprietorship or corporation which have been held by each such person during the twelve (12) month period. In addition, the affidavit shall contain the name and address of any person who has received or is entitled to receive a commission, gratuity or other compensation for procuring or assisting in obtaining business related to the bid or offer and shall also contain the amounts of any such commission, gratuity or other compensation. The affidavit shall be open and available to the public for inspection and copying."

- 1. If the affidavit is a copy, indicate the RFP number and where it is filed.
- 2. Affidavits must be signed within 60 days of the date the proposals are due.

### 2.27 NON-COLLUSION

All OFFERORS are required to submit a current Non-Collusion Affidavit. Failure to do so will mean disqualification and rejection of the proposal.

## 2.28 ETHICAL STANDARDS

All OFFERORS are required to submit a current Ethical Standards Affidavit. Failure to do so will mean disqualification and rejection of the proposal.

## 2.29 COMPLIANCE WITH U.S. DOL WAGE DETERMINATION

All OFFERORS are required to submit a Declaration Re-Compliance with U.S. DOL Wage Determination. Failure to do so will mean disqualification and rejection of the proposal.

## SECTION 3.0: FORM OF CONTRACT

## 3 CONTRACT FOR ENGINEERING AND TECHNICAL SERVICES

PROPONENTS are required to review the draft contract for this solicitation. Any changes, questions or clarifications needed, or proposal for a different form of contract, should be submitted in writing to GPA during the period for accepting questions for this solicitation.

#### CONTRACT FOR ENGINEERING AND TECHNICAL SERVICES SUPPORTING GPA RESOURCE PLANS

This CONTRACT is made and entered into on the day of \_\_\_\_\_\_, 2016, by \_\_\_\_\_\_, hereinafter called the CONSULTANT, and the Guam Power Authority, hereinafter called GPA.

GPA engages the CONSULTANT to perform technical services for a project known and described as ENGINEERING AND TECHNICAL SERVICES IN SUPPORT OF GPA RESOURCE PLANS, <u>GPA-RFP-17-002</u>, hereinafter called the "Project".

#### <u>RECITALS</u>

Whereas, the Guam Power Authority (GPA), is a public corporation of the Government of Guam authorized to conduct its own procurement; and

Whereas, GPA in its most recent Integrated Resource Plan (IRP) identified that to meet future demand and reliability requirements efficiently and without compromising compliance with current and upcoming environmental regulations, transition from the use of Residual Fuel Oil to either ULSD or LNG will be needed; and

Whereas, these plans require assessment of current resources, construction and installation of new facilities, or modification of current facilities to retrofit them for environmental control systems and other improvements that will require engineering analysis, design and construction management services;

Whereas, two of GPA's Energy Conversion Agreements are expiring within the next five (5) years; and

Whereas, in preparation for these tasks, GPA solicited the services the services of \_\_\_\_\_\_\_\_ to provide Engineering and Technical Services supporting GPA's Resource Plans in the areas of Engineering Planning, Environmental Engineering, Feasibility Studies, Design and Construction Management; and

Whereas, GPA seeks to enter into a contract for Engineering and Technical Services in Support of GPA Resource Plans with a Contractor/Consultant wherein such services can be provided to the Authority for the benefit of its customers; and

Whereas, the services to be rendered are of a special and temporary nature and are determined to be in the best public interest to be performed under contract by technical personnel other than employees in the services of GPA; and

NOW, THEREFORE, in consideration of the above premises and the mutual promises set forth herein and the terms and conditions hereinafter set forth and for other good ad valuable consideration, receipt of which is hereby acknowledge; the Guam Power Authority and the \_\_\_\_\_\_ hereby agree as follows:

#### SECTION I - SERVICES OF THE CONSULTANT

The CONSULTANT shall perform the following technical services in accordance with the degree of care and skill that a registered professional in Guam would exercise under similar conditions:

A. GPA and the CONSULTANT have entered into a task-based contract wherein, GPA will call upon a task and negotiate price, scope and schedule with the CONSULTANT. The CONSULTANT shall provide services as described in the task list provided in Exhibit A. This list is not all inclusive; GPA can negotiate tasks that are similar in scope. B. The CONSULTANT has assigned

as the Project Manager for this CONTRACT. Prior written approval is required in the event the CONSULTANT needs to change the Project Manager. The CONSULTANT shall submit the qualifications of the proposed substituted personnel to GPA for approval.

- C. The CONSULTANT shall submit all final documents in both hard copy and electronic format. All documents shall be compatible with GPA's Microsoft Office versions. The software version used shall be compatible to current GPA standards.
- D. GPA upon mutual agreement with GWA at any time during the duration of this CONTRACT may allow GWA to use these CONTRACTUAL services through GPA.

#### SECTION II – CONTRACT PERIOD

GPA and the CONSULTANT have agreed that this CONTRACT will be effective \_\_\_\_\_\_, 2016 for a base contract period of five years or until all of the tasks are completed whichever is less. Upon mutual agreement, the CONTRACT may be extended for a period no more than five years beyond the base contract term. The contract shall commence on award date or final GPA acceptance, whichever is later, and shall terminate on the last day of the contract period, or on the last day of the month after date of final approval/completion of tasks assigned. GPA shall, at its sole discretion, determine task completion.

#### SECTION III - CONSULTANT'S COMPENSATION

- A. The method of payment for this CONTRACT is to be negotiated. Payment options are lump sum or not to exceed payment.
- B. GPA shall pay the CONSULTANT installments based upon monthly progress reports and detailed invoices submitted by the CONSULTANT. Such payments shall be made as specified in SECTION VI.

#### SECTION IV – CONSULTANT'S STATUS

The CONSULTANT agrees that there shall be no employee benefits occurring from this CONTRACT, such as:

- B. Insurance coverage provided by GPA;
- C. Participation in the Government of Guam retirement system;
- D. Accumulation of vacation or sick leave;
- E. There shall be no withholding of taxes by GPA;
- F. That it is expressly understood and agreed that, in the performance of services under this CONTRACT, the CONSULTANT and its employees shall at all times act as independent contractors with respect to GPA, and not as an employee or agent of GPA. Further, it is expressly understood and agreed by the parties that nothing contained in this CONTRACT shall be construed to create a joint venture, partnership, association, or other affiliation or like relationship and shall remain that of independent parties to a contractual relationship set forth in this CONTRACT.

#### SECTION V – GUAM POWER AUTHORITY'S RESPONSIBILITIES

- A. GPA shall designate a project manager during the term of this CONTRACT. The project manager has the authority to administer this CONTRACT and shall monitor compliance with all terms and conditions stated herein. All requests for information from or a decision by GPA on any aspect of the work shall be directed to the project manager.
- B. GPA shall review submittals by the CONSULTANT and provide prompt response to questions and rendering of decisions pertaining thereto, to minimize delay in the progress of the CONSULTANT'S work. GPA will keep the CONSULTANT advised concerning the progress of GPA's review of the work. The CONSULTANT agrees

that GPA's inspection, review, acceptance or approval of CONSULTANT'S work shall not relieve CONSULTANT'S responsibility for errors or omissions of the CONSULTANT or its sub-consultant(s).

- C. Unless included in the CONSULTANT'S Services as identified in Section I, GPA shall furnish the CONSULTANT gratis, the following information or services for this Project:
  - 1. Available GPA data relative to policies, regulations, standards, criteria, studies, etc., relevant to the Project.

#### SECTION VI – INVOICING AND PAYMENT TERMS & CONDITIONS

All invoices shall include supporting documents (i.e. timesheets, shipping invoices, consumable listings). All supporting documents must be reviewed and approved by the GPA Project Manager prior to invoice submittal for charges. All invoices will be paid net 30 days from date invoice is received at the GPA Accounting Department. Payment shall be made using a method mutually agreed upon by GPA and the CONSULTANT.

#### **SECTION VII - TERMINATION**

The Procurement Officer may, when the interest of GPA or the Territory so require, terminate this contract in whole or in part, for the Convenience of the Territory. The Procurement Officer shall give written notice of the termination to the CONSULTANT specifying the part of the contract terminated and when termination becomes effective. [GSA Procurement Regulations 6-101.10.]

Immediately after receiving such notice, the CONSULTANT shall discontinue advancing the services under this CONTRACT and proceed to close said operations under this CONTRACT. The CONSULTANT shall appraise the services it has completed and submit an appraisal to GPA for evaluation. GPA shall have the right to inspect the CONSULTANT'S work to appraise the services completed.

CONSULTANT shall deliver to GPA all drawings, special provisions, field survey notes, reports, estimates and any and all other documents or work product generated by the CONSULTANT under the CONTRACT, entirely or partially completed, together with all unused materials supplied by GPA.

In the event of such termination or abandonment, the CONSULTANT shall be paid for services performed prior to receipt of said notice of termination including reimbursable expenses then incurred.

If the remuneration scheduled hereunder is based upon a fixed fee or definitely ascertainable sum, the portion of such sum payable shall be proportionate to the percentage of services completed by the CONSULTANT based upon the scope of work set forth in Exhibit A, and shall be agreed upon mutually by the CONSULTANT and GPA. However, in no event shall the fee exceed that set forth in Section III.

GPA shall make final payment within thirty (30) days after the CONSULTANT has delivered the last of the partially completed items and the final fee has been agreed upon.

In the event this CONTRACT is terminated, GPA shall have the option of completing the work, or entering into a contract with another party for the completion of the work according to the provisions and agreements herein.

#### SECTION VIII – CHANGES

GPA may at any time, by written order, make any changes or deletions in the services to be performed hereunder. If such changes or deletions cause an increase or decrease in the costs of doing work under this CONTRACT, or in the time required for this performance, an equitable adjustment shall be made as agreed to by the parties and the CONTRACT shall be modified in writing accordingly.

#### SECTION IX – ASSIGNMENT OF AGREEMENT

The CONSULTANT may not assign this CONTRACT, or any sum becoming due to under the provisions of this CONTRACT, without the prior written consent of GPA.

#### SECTION X – FORCE MAJEURE

Neither party shall be liable for any delay in meeting or failure to meet its obligations under this CONTRACT due to a force majeure.

#### SECTION XI - TAXES

The CONSULTANT shall be liable for Guam Gross Receipt Taxes and all other applicable taxes and duties. GPA shall have no tax liability under this order. Specific information on taxes may be obtained from the Director of Revenue and Taxation.

GPA is a government agency exempted from ALL government taxes as stipulated in the Guam Code Annotated.

#### SECTION XII – NOTICES

Any notice, demand or other document required or permitted to be delivered hereunder shall be in writing and may be delivered personally (not to include facsimile transmission) or shall be deemed to be delivered when received postage prepaid, registered or certified mail, return receipt requested, addressed to the parties at their respective address as specified herein:

- TO: Name and Address COPY: If Applicable, Name and Address
- FAX: Fax Number
- TO: Guam Power Authority P.O. Box 2977 Hagatna, Guam 96932-2977 ATTN: General Manager FAX: (671) 649-6942

#### SECTION XIII - GOVERNING LAW

The validity of this CONTRACT and any of its terms or provisions, as well as the rights and duties of the parties to this CONTRACT, shall be governed by the laws of Guam.

#### SECTION XIV - SUPPLEMENTAL CONTRACT PROVISIONS

The supplemental contract provisions to this CONTRACT are attached hereto and incorporated herein by reference as if fully set forth.

#### SECTION XV – INDEMNIFICATION

The CONSULTANT shall indemnify and hold GPA harmless from any claim, liability or product liability, loss, damage, demand, cause of action or suit, expense, or reasonable fee of legal counsel arising out of or in connection with the goods or services the CONSULTANT provides.

#### SECTION XVI – DISPUTES

All controversies between GPA and the CONSULTANT which arise under, or are by virtue of, this CONTRACT and which are not resolved by mutual agreement, shall be resolved under Guam Procurement Law and the Government Claims Act, and pursuant to the laws of Guam.

#### SECTION XVII – RELEASE OF INFORMATION

The CONSULTANT shall not release any information, including the contract price, concerning this project or any part thereof in any form, including advertising, news releases, or professional articles, without written permission from GPA.

#### SECTION XVIII – INSURANCE

The CONSULTANT shall not commence work under this CONTRACT until he has obtained reasonable insurance for Auto Liability and Worker's Compensation and Employer's Liability up to the statutory limits. The CONSULTANT shall maintain all insurance required during the course of the work.

#### SECTION XIX – LICENSING

CONSULTANTS are reminded that GPA will not consider for award any offer submitted by a CONSULTANT who has not complied with the Guam Licensing Law. Specific information on licenses may be obtained from the Director of Revenue and Taxation.

#### SECTION XX – CONVENANT AGAINST CONTINGENT FEES

The CONSULTANT warrants that he has not employed any person to solicit or secure any resultant contract upon agreement for a commission, percentage, brokerage, or contingent fee. Breach of this warranty shall give the Guam Power Authority the right to terminate the CONSULTANT, or in its discretion, to deduct from the Contract price or consideration the amount of such commission, percentage, brokerage, or contingent fees. This warranty shall not apply to commission payable by contractors upon contracts or sales secured or made through, bona fide established commercial or selling agencies maintained by the CONSULTANT for the purpose of securing business.

#### SECTION XXI – EQUAL EMPLOYMENT OPPORTUNITY

Section 3.01 of the Executive Order 10935 dated March 07, 1965 requires the CONSULTANT not to discriminate against an employee or applicant for employment because of race, creed, color or national origin. The CONSULTANT will take affirmative action to ensure that applicants are employed and that employees are treated equally during employment without regard to race, creed, color or national origin.

#### SECTION XXII – AMERICAN DISABILITIES ACT

If requested, the CONSULTANT must meet all ADA regulations and requirements.

IN WITNESS WHEREOF, the parties hereto have executed this CONTRACT this day \_\_\_\_\_\_. The CONSULTANT warrants that the person who is signing this CONTRACT on behalf of the CONSULTANT is authorized to do so and to execute all other documents necessary to carry out the terms of this CONTRACT.

CONSULTANT Federal I.D. No. /Social Security No.

DATE

JOHN M. BENAVENTE, P.E. GENERAL MANAGER GUAM POWER AUTHORITY DATE

APPROVED AS TO FORM:

D. GRAHAM BOTHA, ESQ. STAFF ATTORNEY GUAM POWER AUTHORITY DATE

## SECTION 4.0: SCOPE OF WORK

## 4 SCOPE OF WORK

This section describes the scope of work for this Request For Proposal (RFP).

## 4.1 SOLICITATION

The Guam Power Authority (GPA) is soliciting engineering and technical services in the areas of engineering planning, environmental engineering and general engineering & technical services from qualified firms for the following:

- Engineering and Technical Services supporting GPA's Resource Plans
- Engineering and Technical Services for Environmental/Regulatory Compliance Processes, including Environmental Control Systems Design and Environmental Permitting or Regulatory Support
- Technical and Economic Feasibility Studies

## 4.2 SCOPE

This section provides a detailed scope. PROPONENT must provide descriptions of approach, experience, and expertise.

#### 4.2.1 Engineering and Technical Services supporting GPA's Resource Plans

The scope of work includes, but will not be limited to:

- Power Plant Technical Review and Plant Condition Assessment (Third Party Review and Assessment)
- Power Plant Life Extension Studies (Third Party Study)
- Fuel Bulk Storage Facility Technical Review and Condition Assessment (Third Party Review and Assessment)
- Fuel Bulk Storage Facility Life Extension Study or Conversion Study (for potential conversion from RFO to ULSD or LNG)
- Development of design specifications, engineering work and construction/project management for various improvement projects for various GPA Power Plants, as needed
- Development of design specifications, engineering work and construction/project management for various improvement projects for GPA's Fuel Bulk Storage Facility
- Power Plant Control System design specifications, engineering work and construction/project management including but not limited to multiple power control/operator system consolidation, unit remote start/stop, dead bus synchronization, automatic generation control, microgrid/islanding operation, etc.
- Other engineering and technical services supporting GPA's Resource Plans

GPA may also seek consultant's expertise on contracting mechanisms that will best address GPA' requirements.

PROPONENT may also provide consulting or technical services to support GPA responses to the U.S. Environmental Protection Agency (US EPA), Guam Environmental Protection Agency (GEPA), regulatory oversight, supporting proactive outreach to the Commission on Consolidated Utilities (CCU), Guam Public Utilities Commission (PUC), the executive branch, the Guam Legislature, the Attorney General's Office, and any other regulatory or stakeholder group.

#### 4.2.2 Engineering and Technical Services for Environmental/Regulatory Compliance Processes

The scope of work includes development of design specifications, engineering work, and technical services for various improvement projects in support of environmental/regulatory compliance. It also includes providing design services, construction management, and design of operational processes for environmental control systems.

The scope of work may also include providing environmental permitting services and for providing regulatory support. PROPONENT must show its expertise and experience in obtaining permits for oil-fired, coal-fired, LPG, Ethane, and LNG or natural gas-fired generation. Additionally, PROPONENT must show expertise and experience in determining how repowering older plants may affect existing and future environmental operating permits. PROPONENT must show expertise and experience for obtaining permits based on these scenarios.

PROPONENT may also provide consulting or technical services to support GPA responses to the U.S. Environmental Protection Agency (US EPA), Guam Environmental Protection Agency (GEPA), regulatory oversight, supporting proactive outreach to the Commission on Consolidated Utilities (CCU), Guam Public Utilities Commission (PUC), the executive branch, the Guam Legislature, the Attorney General's Office, and any other regulatory or stakeholder group.

The consultant may also be requested to develop RFP and bid specifications related to work supporting environmental/regulatory compliance.

#### 4.2.3 Technical and Economic Feasibility Study Services

The scope of work is to provide any service related to technical and economic feasibility studies not explicitly stated in this document. This includes planning for the next base load addition, studies supporting GPA's fuel diversification initiative; planning for major rehabilitation or life extension for various resources, and other feasibility studies supporting resource plans or improvements in current operations.

## 4.3 **Project References**

PROPONENT must identify at least three client references for projects in each scope area within the last five years. PROPONENT must provide these references in an organized Table(s) with project name, project manager, project description, client name, client contact information including email, website, telephone, and fax numbers.

## 4.4 Project Team

Each PROPONENT must identify the project management team that they will assign to each scope area for the duration of its CONTRACT with GPA. GPA will need to agree to any replacements made for the project team. The PROPONENT must provide the curriculum vitae, publications list, and project experience for each team member. The PROPONENT must provide an organizational chart for this project team.

## SECTION 5.0: PROPOSAL SUBMITTAL CONTENT

## 5 NON-PRICED PROPOSAL CONTENT

PROPONENTS must submit non-priced proposals that include:

1. Detailed description of PROPONENT'S qualifications to deliver on the scope of work and projects addressed in this RFP. This description should be organized in the following format (Sections in Proposal)

Engineering and Technical Services supporting GPA's Resource Plans			
npliance			
Organization, Qualifications, and Experience of Project Team (see #4 below)			
ſ			

- 2. Three client references on projects completed within the last five years related to the projects and scope of work addressed in this RFP. Refer to Evaluation Worksheets (Appendix G).
- 3. Detailed description of the processes and services that the PROPONENT will use to deliver on the scope of work and projects addressed in this RFP. Refer to Evaluation Worksheets (Appendix G).
- 4. Describe in detail the team and organizational structure that the PROPONENT will use for this project including curriculum vitae. Describe what each team member is responsible for and how they will provide value.

Provision of this information in the proposal means that the individuals identified will be assigned to perform the scope and projects addressed in this RFP. GPA reserves the right to approve substitutions.

- 5. Proposal must be free of any price information.
- 6. Copy of Articles of Incorporation, or other applicable forms concerning business organization and by-laws.
- 7. At least three (3) years' financial information on Proponent's Firm and all subcontractors that will be assigned to fulfill the requirements of the contract. The financial information should have been reviewed or audited by a qualified reviewing or auditing authority.
- 8. Required Forms
  - a. Certificate of Good Standing to conduct business in jurisdiction of residence;
  - b. Special Provision for Major Shareholders Disclosure Affidavit;
  - c. Completed Major Shareholders Disclosure Affidavit;
  - d. Completed Non-Collusion Affidavit;
  - e. Completed No Gratuities and Kickbacks Affidavit;

- f. Completed Ethical Standards Affidavit;
- g. Completed Restriction Against Sex Offenders Affidavit;
- h. Completed Declaration Re Compliance with US DOL Wage Determination form
- i. Information regarding outstanding claims against proponent, if any;
- 9. Sufficient documentation, drawings, catalogs, illustration and such other information necessary to clearly support responses to the requirements.

## SECTION 6.0: PROPOSAL EVALUATION

## 6 EVALUATION PROTOCOL

The evaluation format is a two-step process. In the first step, GPA evaluates each PROPONENT'S qualifications and non-priced proposal for quality, reasonableness, completeness and applicability towards GPA's business needs and goals. During the evaluation process, GPA shall score each PROPONENT'S submittal and rank each from most acceptable to least acceptable. GPA may choose to select one, any or none of the PROPONENTS for the second step in the procurement process: contract negotiations.

GPA reserves the right to select one or more or none of these PROPONENTS to negotiate a contract for communication services, installation and operations and maintenance services for GPA's internal communication and network infrastructure.

GPA will begin negotiations with the PROPONENT having the most acceptable proposal for the work scope under consideration. If it fails to successfully conclude negotiations with this PROPONENT, it may terminate negotiations with this PROPONENT and begin negotiations with the PROPONENT having the next most acceptable proposal. GPA may continue in this fashion until it awards a contract or runs out of PROPONENTS to negotiate with.

### 6.1 Evaluation Criteria and Proposal Scoring

Table 1 below lists the evaluation criteria and preliminary scoring. GPA will convene a committee of no less than three people. These people will elect a committee chairperson.

Scoring and evaluation shall occur in three steps:

#### Step 1: Individual Committee Member Evaluation and Scoring of Proposal

Each committee member will score each PROPONENT'S proposal using the Step One Evaluation Forms.

Proposals that score greater than or equal to 70% of the maximum points are deemed acceptable. Proposals that score between 65% and 69%, inclusive, are deemed potentially acceptable. Proposals scoring below 65% are deemed unacceptable.

If an evaluator determines that a proposal is not acceptable, then that proposal cannot be evaluated in step 2. If an evaluator determines that a proposal is potentially acceptable, then that proposal cannot be evaluated in step 2 unless that evaluator finds less than three acceptable proposals. No unacceptable proposals will be scored beyond Step One.

#### Step 2: Committee-Wide Evaluation and Scoring of Proposal

After all the proposals have been scored under Step One, the Committee Chair will request the Committee whether they would like to evaluate Step 2 under Total Scope or Individual Scope Scoring. If the Committee deems that one or more PROPONENTS are especially strong in a given scope area, the

Committee may revert to scoring based on the individual scope evaluation form. The Committee may evaluate one or more scope areas for individual scoring.

After all the proposals have been scored, each committee member will rank each proposal from highest scoring to lowest scoring using the *Step Two Evaluation Form*. Five points will be awarded to the highest ranking. Three points will be awarded to the second highest ranking. One point will be awarded the third highest ranking. The committee will then total up all the points for each proposal from each evaluator and scope and rank each proposal in step two using the *Step Two Committee-Wide Evaluation Form*. In the event of ties, the Chairman breaks the tie.

#### Step 3: Negotiation and Award

The committee will negotiate with the top scoring proponent(s) from Step 2.

If negotiations fail with the top scoring proposal, the committee will negotiate price with the next highest scoring proponent from Step 2. If there are no next proposals from Step 2, the committee may repeat Steps 2 and 3 or terminate the procurement.

#### **EVALUATION CRITERIA:**

Item	Evaluation Item	Weight	Maximum Score	TOTALS
#			(min = 0, max = 10)	Weight x Raw Score
	Weight			
1	Firm Experience and Expertise:			
A	Engineering and Technical Services Supporting GPA's Resource Plans	25	10	250
В	Engineering and Technical Services supporting Environmental/Regulatory Compliance Processes	15	10	150
С	Technical and Economic Feasibility Studies	15	10	150
2	Client References	10	10	100
3	Project Approach	15	10	150
4	Organization, Qualifications, and Experience of Project Team	18	10	180
5	Submission of other required forms (Items 6 through 8 in Section 5 of the RFP) *Automatic Disqualification if Section 8 is incomplete*	2	10	20
	MAXIMUM SCORE			1000
	Minimum Score for ACCEPTABLE Proposal			700
	Potentially Acceptable Proposal Scores			650-699
	Unacceptable Proposals			Below 650

## APPENDIX A MAJOR SHAREHOLDERS DISCLOSURE AFFIDAVIT

## MAJOR SHAREHOLDERS DISCLOSURE AFFIDAVIT

TERRIT	ORY OF GUAM )		
HAGAT	)ss. NA, GUAM )		
	dersigned,	, bein , bein , the company of, etc.)	g first
•	That the persons who have held more twelve months are as follows:	than ten percent (10%) of the comp	pany's shares during the past
	Name	Address	Percentage of Shares Held
		Total Number of S	hares:
<ul> <li>Persons who have received or are entitled to receive a commission, gratuity or other compensation procuring or assisting in obtaining business related to the bid/rfp for which this Affidavit is submitted as follows:</li> </ul>			
	Name	Address	Amount of Commission Gratuity or Other Compensation
Further,	affiant sayeth naught.		
	Date:	0	/offeror is a sole proprietorship; ership; Officer, if the bidder is a
Subscrib	ed and sworn to before me this	_ day of, 20 Notary Public In and for the Territory of Gu My Commission expires:	am

## APPENDIX B NON-COLLUSION AFFIDAVIT

## NON-COLLUSION AFFIDAVIT

	TERRI	TORY OF GUAM )			
HAGA	TNA, GL	)ss. JAM )			
	company) or bid is genuine and not pired or agreed, directly or rom bidding or submitting a y agreement or collusion, or f affiant or any other bidder, or of that of any bidder, or to any person interested in the s and Regulations				
			(Declarant)		
		Subscribed and sworn to before me this	day of	, 20	
			Notary Public In and for the Territo My commission exp		
			Notary Public In and for the Territe	ory of Guam	

## APPENDIX C NO GRATUITIES OR KICKBACKS AFFIDAVIT

### NO GRATUITIES OR KICKBACKS AFFIDAVIT

#### AFFIDAVIT (Offeror)

TERRITORY OF GUAM

HAGATNA, GUAM

) )ss: )

\_\_\_\_\_, being first duly sworn, deposes and says:

As the duly authorized representative of the Offeror, that neither I nor of the Offeror's officers, representatives, agents, subcontractors, or employees has or have offered, given or agreed to give any government of Guam employee or former employee, any payment, gift, kickback, gratuity or offer of employment in connection with Offeror's proposal.

Signature of Individual if Offeror is a Sole Proprietorship; Partner, if the Offeror is a Partnership; Officer, if the Offeror is a Corporation

SUBCRIBED AND SWORN to before me this \_\_\_\_\_day of \_\_\_\_\_, 20\_\_\_\_.

Notary Public \_\_\_\_\_\_ In and for the Territory of Guam

My commission expires: \_\_\_\_\_

## APPENDIX D ETHICAL STANDARDS AFFIDAVIT

#### ETHICAL STANDARDS AFFIDAVIT

#### AFFIDAVIT (Offeror)

TERRITORY OF GUAM

HAGATNA, GUAM

, being first duly sworn, deposes and says:

That I am (the Sole Proprietor, a Partner or Officer of the Offeror)

)ss:

That Offeror making the foregoing Proposal, that neither he or nor of the Offeror's officers, representatives, agents, subcontractors, or employees of the Offeror have knowingly influenced any government of Guam employee to breach any of the ethical standards set forth in 5 GCA Chapter 5 Article 11, and promises that neither he nor any officer, representative, agent, subcontractor, or employee of Offeror will knowingly influence any government of Guam employee to breach any ethical standard set for in 5 GCA Chapter 5 Article 11.

Signature of Individual if Offeror is a Sole Proprietorship; Partner, if the Offeror is a Partnership; Officer, if the Offeror is a Corporation

SUBCRIBED AND SWORN to before me this \_\_\_\_\_day of \_\_\_\_\_\_, 20\_\_\_\_\_.

Notary Public \_\_\_\_\_ In and for the Territory of Guam

My commission expires: \_\_\_\_\_

# APPENDIX E DECLARATION RE-COMPLIANCE WITH U.S. DOL WAGE

## DETERMINATION

#### DECLARATION RE-COMPLIANCE WITH U.S. DOL WAGE DETERMINATION

Procurement No.: \_\_\_\_\_ Name of Offeror Company:

\_\_\_\_\_ hereby certifies under penalty of perjury:

(1) That I am \_\_\_\_\_ (the offeror, a partner of the offeror, an officer of the offeror) making the bid or proposal in the foregoing identified procurement;

(2) That I have read and understand the provisions of 5 GCA § 5801 and § 5802 which read:

§ 5801. Wage Determination Established.

In such cases where the government of Guam enters into contractual arrangements with a sole proprietorship, a partnership or a corporation ('contractor') for the provision of a service to the government of Guam, and in such cases where the contractor employs a person(s) whose purpose, in whole or in part, is the direct delivery of service contracted by the government of Guam, then the contractor shall pay such employee(s) in accordance with the Wage Determination for Guam and the Northern Mariana Islands issued and promulgated by the U.S. Department of Labor for such labor as is employed in the direct delivery of contract deliverables to the government of Guam.

The Wage Determination most recently issued by the U.S. Department of Labor at the time a contract is awarded to a contractor by the government of Guam shall be used to determine wages, which shall be paid to employees pursuant to this Article. Should any contract contain a renewal clause, then at the time of renewal adjustments, there shall be made stipulations contained in that contract for applying the Wage Determination, as required by this Article, so that the Wage Determination promulgated by the U.S. Department of Labor on a date most recent to the renewal date shall apply.

#### § 5802. Benefits.

In addition to the Wage Determination detailed in this Article, any contract to which this Article applies shall also contain provisions mandating health and similar benefits for employees covered by this Article, such benefits having a minimum value as detailed in the Wage Determination issued and promulgated by the U.S. Department of Labor, and shall contain provisions guaranteeing a minimum of ten (10) paid holidays per annum per employee.

- (3) That the offeror is in full compliance with 5 GCA § 5801 and § 5802, as may be applicable to the procurement referenced herein;
- (4) That I have attached the most recent wage determination applicable to Guam issued by the U.S. Department of Labor.

Signature of Individual if Proposer is a Sole Proprietorship; Partner, if the Proposer is a Partnership; Officer, if the Proposer is a Corporation

SUBCRIBED AND SWORN to before me this \_\_\_\_\_day of \_\_\_\_\_\_, 2016.

Notary Public In and for the Territory of Guam My Commission Expires:

## APPENDIX F RESTRICTION AGAINST CONVICTED SEX OFFENDERS

#### SPECIAL PROVISIONS

Restriction Against Sex Offenders Employed by Service Providers to Government of Guam from Working on Government of Guam Property

GCA 5 §5253 Restriction Against Contractors Employing Convicted Sex Offenders from Working at Government of Guam Venues:

- (a) No person convicted of a sex offense under the provisions of Chapter 25 of Title 9 Guam Code Annotated, or an offense as defined in Article 2 of Chapter 28, Title 9 GCA in Guam, or an offense in any jurisdiction which includes, at a minimum, all of the elements of said offenses, or who is listed on the Sex Offender Registry, and who is employed by a business contracted to perform services for an agency or instrumentality of the government of Guam, shall work for his employer on the property of the Government of Guam other than public highway.
- (b) All contracts for services to agencies listed herein shall include the following provisions: (1) warranties that no person providing services on behalf of the contractor has been convicted of a sex offense under the provisions of Chapter 25 of Title 9 GCA or an offense as defined in Article 2 of Chapter 28, Title 9 GCA, or an offense in another jurisdiction with, at a minimum, the same elements as such offenses, or who is listed on the Sex Offender Registry; and (2) that if any person providing services on behalf of the contractor is convicted of a sex offense under the provisions of Chapter 25 of Title 9 GCA or an offense in another jurisdiction with, at a minimum, the same elements as such offenses, or who is listed on the Sex Offender Registry; and (2) that if any person providing services on behalf of the contractor is convicted of a sex offense under the provisions of Chapter 25 of Title 9 GCA or an offense as defined in Article 2 of Chapter 28, Title 9 GCA or an offense in another jurisdiction with, at a minimum, the same elements as such offenses, or who is listed on the Sex Offender Registry, that such person will be immediately removed from working at said agency and that the administrator of said agency be informed of such within twenty-four (24) hours of such conviction.
- (c) Duties of the General Services Agency or Procurement Administrators. All contracts, bids, or Requests for Proposals shall state all the conditions in § 5253(b).
- (d) Any contractor found in violation of § 5253(b), after notice from the contracting authority of such violation, shall, within twenty-four (24) hours, take corrective action and shall report such action to the contracting authority. Failure to take corrective action within the stipulated period may result in the temporary suspension of the contract at the discretion of the contracting authority.

SOURCE: Added by P.L. 28-024:2 ((Apr. 21, 2005). Amended by P.L. 28-098:2 (Feb. 7, 2006).

	Signature of Bidder	Date
	Proposer, if an individu Partner, if a partnershi Officer, if a corporatior	ip;
Subscribed and sworn before me this	day of	, 2016.

Notary Public

APPENDIX G EVALUATION WORKSHEETS

### The following worksheets will be used by the GPA Evaluation Committee in the evaluation and scoring of the proposals received from proponents.

All inquiries or clarifications regarding these worksheets should be submitted to GP A following the guidelines stated in this RFP for the submission of inquiries, and will be accepted up to the deadline for submission of questions.

# STEP ONE EVALUATION FORM EVALUATION SUMMARY

Evaluator:

Firm:

Date:

Item	Evaluation Item	Weight	Raw Score	TOTALS
#			(min = 0, max = 10)	Weight x Raw Score
	Weight			
1	Firm Experience and Expertise:			
A	Engineering and Technical Services Supporting GPA's Resource Plans	25		
В	Engineering and Technical Services supporting Environmental/Regulatory Compliance Processes	15		
С	Technical and Economic Feasibility Studies	15		
2	Client References	10		
3	Project Approach	15		
4	Organization, Qualifications, and Experience of Project Team	18		
5	Submission of other required forms (Items 6 through 8 in Section 5 of the RFP)	2		
	SUBTOTALS			

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### STEP TWO EVALUATION FORM

Evaluator:

Firm:

Date:

# Total Scope

Row #	PROPONENT	RANK	POINTS
1		1	5
2		2	3
3		3	1

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### STEP TWO EVALUATION FORM

Evaluator:

Firm: \_\_\_\_\_

Date:

Individual Scope:\_\_\_\_\_

Row #	PROPONENT	RANK	POINTS
1		1	5
2		2	3
3		3	1

#### STEP TWO COMMITTEE-WIDE EVALUATION FORM

	PROPONENT 1	EVALUATOR STEP TWO POINTS AWARDED					
Row #		1	2	3	4	5	TIE-BREAK (IF NEEDED)
1							
-							
2							
3							
5							
4							
5							
6							
7							
8							
0							
9							
10							
10							
	TOTAL						
 Evalua	for Signatures						

Evaluator Signatures

Date \_\_\_\_\_

Date \_\_\_\_\_ Date \_\_\_\_\_

Date \_\_\_\_\_

Date \_\_\_\_\_

#### STEP TWO COMMITTEE-WIDE EVALUATION FORM

Individual Scope:

	PROPONENT	EVALUATOR STEP TWO POINTS AWARDED					
Row #		1	2	3	4	5	TIE-BREAK (IF NEEDED)
1							
2							
3							
4							
5							
6							
7							
8							
9							
10							
	TOTAL						

**Evaluator Signatures** 

 Date	 Date
 Date	 Date
 Date	